

## CATASTROPHIC LEAVE FOR YOUR IMMEDIATE FAMILY MEMBER'S MEDICAL CONDITION

Directions for completing catastrophic leave application.

If you are requesting catastrophic leave for your own medical condition, then download the packet titled Catastrophic Leave Application for Employee's Medical Condition.

These instructions cover how to complete the documents in the catastrophic leave application packet. If you have any questions about catastrophic leave, then refer to the <u>catastrophic leave web page</u>, <u>University Administrative Policy 3430, Catastrophic Leave Program</u>, or contact your HR Consultant.

This application consists of 5 parts:

- 1. Catastrophic Leave Program Application
- 2. Health Care Provider Statement
- 3. Informed Consent Form for Employee
- 4. Authority to Release Health Information and Medical Records
- 5. Authority to Release Health Information University of New Mexico Health Sciences Center

#### **IMPORTANT INFORMATION**

Parts 3, 4, and 5 of the catastrophic leave application pertain to the release of your medical records to the Third Party Administrator (TPA). Your catastrophic leave application will be processed quicker if you provide your medical records at the time you submit your documents. Please attach medical records or health care provider summation from the last 2 or 3 appointments you had with your health care provider – we do not require your entire medical file. You can obtain your medical records or health care provider summation by accessing your MyChart, MyHealthUNM, or similar account or asking your health care provider.

The TPA will only reach out to your health care provider if the medical records you supply with this application do not provide the information needed for the TPA to make a determination.

If you do not provide your medical records at the time you submit your application, then the determination if you qualify for catastrophic leave may be delayed and result where you are not receiving pay.

#### 1. Complete the Catastrophic Leave Program Application

#### **Employee and Department Information**

• Enter your (UNM employee's) demographic, employee, and department information.

#### Catastrophic Leave Information

In this section you will complete the following:

- Number of hours:
  - For continuous catastrophic leave, you can request up to 3 months of catastrophic leave (520 hours) to care for your family member. If your family member's condition is determined to be terminal, then the full 6 months can be requested (1040 hours).
  - For intermittent catastrophic leave, the maximum request cannot exceed 3 months (520) hours.
  - Anticipated start and end date.
- Select the type of catastrophic leave you are applying for:

- o Initial request means;
  - First request, never used catastrophic leave previously, or
  - Used catastrophic leave previously, but this is a new case.
- Is this a request to re-certify for catastrophic leave?
  - Submitting application for continuation of catastrophic leave for the same illness or injury.
- Is this an appeal to a denied claim?
  - Re-submitting an application because your claim was denied.
  - Must be accompanied by additional supporting documentation.
- Is your medical condition or injury due to a work-related incident?
  - This section would not pertain to your family member's medical condition and can be left blank.
- Have you previously used catastrophic leave?
  - o Do not use this section for recertification of the same illness or injury.
  - If used catastrophic leave for your own or your family member's previous illness or injury, then document the dates.
- Completing this packet indicates you are requesting catastrophic leave to care for your immediate family member. You will need to fully complete the family member section.
- Provide the name and phone number of your health care provider.
- Sign the form and return the application along with the medical certification and release forms to your supervisor. The medical certification and release forms should be submitted to your supervisor in a sealed envelope.

#### 2. Health Care Provider Statement

The health care provider statement will be completed by your family member's health care provider. A new health care provider statement will need to be completed for any recertifications.

# 3. Informed Consent and Authorization: Catastrophic Leave Program (For UNM Employee's Immediate Family Member)

This form authorizes UNM's Third Party Administrator to contact your family member's health care provider to obtain additional medical information pertaining to his/her catastrophic leave request. After reading the form, have your family member complete the information at the bottom of the form.

#### 4. Authority to Release Health Information and Medical Records

This form authorizes your family member's health care provider to release medical records pertaining to his/her catastrophic leave request to UNM's Third Party Administrator. If additional medical information is needed, then this form will be sent to your family member's health care provider.

In the 'To' box enter the name of your family member's health care provider or the name of your family member's health care treatment facility. Ensure your family member's health care provider enters their contact information on page 3 of the Health Care Provider Statement.

#### 5. Authority to Release Health Information University of New Mexico Health Sciences Center

This form is to be used if your family member is a patient of the University of New Mexico Health System. The vendor information has is on the form. If additional medical information is needed, then this form will be sent to your health care provider.

Submit the Health Care Provider Statement, the Informed Consent form, the appropriate Authority to Release Health Information and Medical Records, and your medical records to your department's HR Consultant in a sealed envelope.





#### Memo to Treating Health Care Provider:

The employee requesting this statement is applying to UNM's Catastrophic Leave Program regarding their medical condition or injury. As the treating health care provider (HCP) we are requesting the below information supporting the employees request for catastrophic leave. If approved for this program, then the employee will be provided PAID time. A catastrophic illness or injury is an acute or prolonged illness or injury that is considered life-threatening or with the threat of serious residual disability which results in the employee's inability to work. Missing work for medical reasons does not necessarily meet the level of a catastrophic event. Employees who have an eligible immediate family member with an illness as defined above, that requires attendant care and results in the employee's inability to work, also qualifies. Please provide the University of New Mexico and its Third Party Administrator all of the information requested.

Please provide as much detail as possible. With this Health Care Provider Statement, <u>please attach medical records of the prior 2 or 3 last</u> <u>visits.</u> These records will be provided to the medical reviewers to determine if the employees medical condition or injury qualifies for the program. An incomplete Health Care Provider Statement or failure to attach medical records from the prior 2 or 3 last visits may result in UNM and/or the Third Party Administrator denying the request. Please print legibly.

GINA prohibits employers and other entities covered by GINA Title II from requesting or requiring genetic information of employees or their family members with certain exceptions including requests for family medical history to comply with the certification provisions of the FMLA or State or local family and medical leave laws, or pursuant to a policy (even in the absence of requirements of Federal, State, or local leave laws) that permits the use of leave to care for a sick family member and that requires all employees to provide information about the health condition of the family member to substantiate the need for leave. If this exception provision is not applicable in your case, we are asking that you not provide any genetic information, 'Genetic information,' as defined by GINA, includes an individual's family medical history, the results of an individual's or family member's genetic tests, the fact that an individual or an individual's family member sought or received genetic services, and genetic information of a fetus carried by an individual or an individual's family member receiving assistive reproductive services.



#### Informed Consent and Authorization: Catastrophic Leave Program (For UNM Employee's Immediate Family Member)

#### Notice to Immediate Family Member of University of New Mexico (UNM) Employee.

UNM's Catastrophic Leave Program allows salary and benefits continuation for eligible staff employees who are experiencing a catastrophic condition or whose immediate family members may be experiencing a catastrophic condition pursuant to the terms, conditions, and requirements set forth in University Administrative Policy 3430 (Catastrophic Leave Program). UNM has contracted with a Third Party Administrator (TPA), Managed Medical Review Organization (MMRO), to provide an independent medical review of employee requests for catastrophic leave.

Your immediate family member ("UNM Employee") has requested benefits under UNM's Catastrophic Leave Program in relation to a condition or injury they are experiencing. To request benefits, the UNM Employee must complete and submit an Application for Catastrophic Leave Program and Health Care Provider Statement (the "Application') to the employee's immediate supervisor. The Application includes an "Authority to Request Health Information and Medical Records" ("UNM's Release") for you to sign. By signing UNM's Release, you will be permitting the MMRO to speak with your health care provider, if additional information is needed. It also will permit MMRO to obtain your medical/mental health records, including but not limited to information/records pertaining to behavioral or mental health services and treatment, if needed. If your health care provider requires signature on its own form of release in addition to UNM's Release or instead of UNM's Release, you are requested to do so in order for UNM/MMRO to obtain the information it needs for the evaluation of the UNM Employee's Application.

Your social security numbers, addresses, birth dates, marital status information, spousal information and medical/mental health information will be maintained by UNM/MMRO for the purpose of evaluating the UNM Employee's Application for UNM's Catastrophic Leave Program and will be classified as private data ("Private Data"). Neither UNM nor MMRO will share this Private Data with any person or agency except pursuant to your Authorization below. The failure to provide the information requested by UNM and/or MMRO relating to your own medical/mental health condition may result in the denial or impair the processing of the UNM Employee's application for UNM's Catastrophic Leave Program.

#### Authorization for UNM and MMRO to release Private Data.

I agree and warrant I have reviewed the above "Notice to Immediate Family Member of University of New Mexico (UNM) Employee" and understand the information contained therein, including but not limited to the information regarding my Private Data.

I give my informed consent and authorize UNM and MMRO to provide my Private Data to any independent medical examiners and consultants retained by UNM or MMRO to assist in evaluation of my the UNM Employee's application for Catastrophic Leave Program, as well as administrative law judge (ALJ) or district/circuit court judge for the purpose of evaluating the UNM Employee's catastrophic leave application and appeals.

This authorization shall be in force and effective for one year from the date of signing or upon completion of the evaluation of my catastrophic leave application and all appeals, whichever is longer.

I understand that I may request a copy of this authorization.

This authorization shall become effective on the date appearing next to my signature below.

I understand I may revoke this authorization at any time prior to its expiration date by sending an email to <u>catleave@unm.edu</u> notifying UNM Human Resources, in writing, of my desire to revoke it. I understand that any action already taken in reliance on this authorization cannot be reversed, and my revocation will not affect those actions.

A photostatic copy of this authorization, which contains my signature, shall be considered as effective and valid as the original and shall be honored by those to whom it is sent or provided.



### AUTHORITY TO RELEASE HEALTH INFORMATION AND MEDICAL RECORDS

I, , hereby authorize you or any member or employee of your office or association who has examined or treated me to (1) discuss my physical and mental condition, care and treatment with UNM's Third Party Administrator for its Catastrophic Leave Program: Managed Medical Review Organization (MMRO), Post Office Box 1888, Albuquerque, New Mexico 87103-1888; and (2) release complete and legible copies of written information/records concerning my physical and mental condition, care and treatment to MMRO as requested by MMRO.

#### This information will be used for the purpose of UNM's Catastrophic Leave Program/Application.

This Authority to Release Health Information and Medical Records ("Authorization") authorizes you or any member or employee of your office of association who has examined or treated me to discuss with MMRO information contained in and/or release my patient questionnaires, medical reports, clinical notes, history of injury, subjective and objective complaints, imaging studies/x-rays/films/scans reports and interpretations, other diagnostic tests/reports, diagnoses and prognoses, emergency room records or logs, discharge summaries, history and physical examination reports, psychological and psychiatric records, behavioral health records, substance abuse records, laboratory reports, operative/procedural reports, operating room logs, progress notes, provider orders, physical/occupational therapy records, out-patient records, pharmacy records and any other documents in your possession relative to my physical and mental condition.

In addition to the release of information/records contained in the general health records, I also authorize you or any member or employee of your office of association who has examined or treated me to discuss with MMRO information contained in and/or release the health records pertaining to the following conditions: sexually transmitted disease, acquired immunodeficiency syndrome (AIDS) or human immunodeficiency virus (HIV). This release also permits disclosure of information regarding behavioral or mental health services and treatment for alcohol and drug abuse.

I hereby expressly waive any laws, regulations and rules of ethics, which might prevent any hospital, doctor, or other person, who has treated or examined me in a professional capacity, or otherwise, from releasing the information/records requested.

I understand that authorizing the disclosure of this health information is voluntary; that I can refuse to sign this Authorization and need not sign this Authorization to obtain health care treatment. Because the purpose of this Authorization is for the use and/or disclosure of health information/records for UNM's Catastrophic Leave Program, I understand that the refusal to sign this Authorization may result in (1) the denial or impaired processing of my application for UNM's Catastrophic Leave Program; or (2) the denial or impaired processing of my immediate family member's application for UNM's Catastrophic Leave Program.

This Authorization shall be in force and effective for one year from the date of signing. I understand I may revoke this authorization at any time prior to its expiration date by sending an email to <u>catleave@unm.edu</u> notifying UNM Human Resources in writing of my desire to revoke it. I understand that any action already taken in reliance on this Authorization cannot be reversed, and my revocation will not affect those actions.

Information/records used or disclosed pursuant to this Authorization may be subject to redisclosure by MMRO and no longer protected under the Health Insurance Portability and Accountability Act.

A photostatic copy of this Authorization, which contains my signature, shall be considered as effective and valid as the original and shall be honored by those to whom it is sent or provided.



# AUTHORIZATION TO USE OR DISCLOSE HEALTH INFORMATION

Patient Name:				Date of Birtl	n:	Medical Record #:	
						n my health record at:	
[] Children's Psychiatric Hospital				[] UNM Sandoval Regional Medical Center			
To:	Name: M	IMRO/Cor	evisory				
	Street A	ddress: <u>4</u>	4090 West 12 Mile I	Road City: <u>Novi</u>			
	State: M	lichigan	<b>Zip:</b> <u>48377</u>	Phone: ( <u>866</u>	) 516-6676 Ext 135	Provider/Facility Fax : <u>(248) 704-8969</u>	
Would yo	ou like a (	CD/DVD o	f your records?	Yes / No	Vould you like a Cl	D/DVD of your radiology films/images? Yes / N	0
For the p	ourpose o	of:					
2. Inform	nation to	be disclo	sed:				
[] most recent visit/admission				[] outpatient clinic	records	[] immunization records	
[] history & physical exam				[] laboratory tests		[] psychological records	
[] discharge summary				[] radiology reports	6	[] consultation reports	
[] physical / occupational therapy records				[] pathology report	S	[] speech & language records	
[] operative reports				[] ER records		[] all records	
	Covering	the period	d(s) of healthcare:	From (date):		To (date):	
	Ū		( )	From (date) :			
<ul> <li>3. I further authorize that this disclosure of health information will include information relating to (initial if applicable): (Please initial and check "yes" if labs and/or behavioral health records are requested.) </li> <li>[]Yes []No Laboratory tests initials. </li> <li>[]Yes []No Acquired immunodeficiency syndrome (AIDS) or human immunodeficiency virus (HIV) infection or other sexually transmitted diseases initials. </li> <li>[]Yes []No Behavioral health services/psychiatric care initials. </li> <li>[]Yes []No Treatment for alcohol and/or drug abuse initials. </li> <li>[]Yes []No Genetic test results and related patient information initials.</li> </ul>							
written rev response t claim under expiration 5. I unders or regulation 6. I unders obtain hea	ocation to to to this auth ar my policy date, even stand that o ons. stand that a lth care tre	the Health I orization. I y. Unless o t, or condition once the ab authorizing eatment; and	Information Managem understand that the re- therwise revoked, this on, this authorization pove information is dis the disclosure of this	ent Department. I unde evocation will not apply authorization will expir will expire in six months closed, it may be re-dis nealth information is vo e disclosure of this heal	erstand that the revoca to my insurance comp re on the following dat from the date on whi closed by the recipien luntary; that I can refu	oke this Authorization I must do so in writing and present ation will not apply to information that has already been re bany when the law provides my insurer with the right to co e, event, or condition: If I fail to sp ch it was signed. t and the information may not be protected by federal privi- se to sign this authorization and need not sign this author the right to examine and copy the information to be disclosed	leased in ontest a pecify an vacy laws
Signature	e, Patient,	or legal re	epresentative	(Relations	ship to patient)	(Date)	
Signature PROHIBITI			(Date) E: Federal regulations	•	CPH/PFC&A patien Laws (NMSA 1978 ##	nt over 14) (Date) 43-1-19, 32A-6A-24-2B-7 and 24-1-9.5) prohibit further disclos	sure of

PROHIBITION OF REDISCLOSURE: Federal regulations (42 CFR Part2) and State Laws (NMSA 1978 ## 43-1-19, 32A-6A-24-2B-7 and 24-1-9.5) prohibit further disclosure of mental health or alcohol and/or drug abuse treatment information and of the results of tests for HIV/AIDS and other sexually transmitted diseases to any person or agency without securing another proper written authorization for that purpose, or as otherwise permitted by Federal regulations or State laws.